

#4

PATENT
Atty Docket No. EMC-99-060

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph G. Murphy et al.

Examiner: To be assigned

Serial Number: 09/431,758

Art Unit: To be assigned

Filing Date: November 1, 1999

Title: MULTIPLE STORAGE ARRAY CONTROL

Assistant Commissioner for Patents
Washington, DC 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant submits this statement in accordance with the duty of disclosure under 37 C.F.R. § 1.56 and 1.97-1.98. This statement is filed in accordance with 37 C.F.R. 1.97(b)(1), before examination of the application on the merits.

Copies of the references together with a listing on form PTO 1449 are submitted herewith. Applicants respectfully solicit the Examiner's consideration of the cited references and entry thereof into the record of this application.

Applicants believe that no fees are due with this submission. However, if this is not the case, please charge any fees occasioned by this submission to Deposit Account 05-0889.

Respectfully submitted,

Dated:

Feb. 5, 2002

Robert K. Perkins, Reg. No. 36,634

Attorney for Applicant

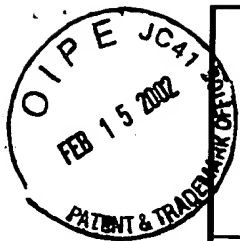
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TRANSMITTAL FORM

Application No.:	431,758
Filing Date:	November 1, 1999
First Named Inventor:	Joseph G. Murphy
Group Art Unit	Not Yet Assigned
Examiner:	Not Yet Assigned
Docket No.	EMC-99-060

Total Number of Pages in this Submission: 129

ENCLOSURES (check all that apply)

- | | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Authorizing the Commissioner to charge Deposit Account No. 05-0889 (in duplicate) | <input type="checkbox"/> Response to Notice to File Missing Parts of Nonprovisional Application | <input type="checkbox"/> Petition for Revival of an Unintentionally Abandoned Application [37 CFR 1.137(b)] |
| <input type="checkbox"/> Amendment/Response
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/Decl. | <input type="checkbox"/> Declaration/Power of Attorney | <input type="checkbox"/> Continued Prosecution Application (CPA) |
| <input type="checkbox"/> Extension of Time Request for 3 Months | <input type="checkbox"/> Copy of Notice to File Missing Parts of Nonprovisional Application | <input type="checkbox"/> Express Abandonment Request |
| <input checked="" type="checkbox"/> Information Disclosure Statement & Form 1449A | <input type="checkbox"/> Revocation of Power of Attorney | <input type="checkbox"/> Terminal Disclaimer |
| <input type="checkbox"/> Assignment | <input type="checkbox"/> Issue Fee Payment on USPTO Form PTOL-85B, "Part B—Issue Fee Transmittal" | <input checked="" type="checkbox"/> Certificate of First Class Mailing |
| <input type="checkbox"/> Recordation Cover Sheet | <input type="checkbox"/> Formal Drawings, labeled (showing Figs. 1-7) | <input type="checkbox"/> Certificate of Express Mail Mailing |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Letter/Transmittal to Official Draftsperson | <input checked="" type="checkbox"/> Postcard |
| | <input type="checkbox"/> Copy of PTO 948, "Notice of Draftsperson's Patent Review" | <input checked="" type="checkbox"/> Additional Enclosures: 3 Cited References, US Patent Numbers, 5,459,837 and 6,128,657 and WO9953415A |

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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

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CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8]

I hereby certify that this correspondence and above-referenced enclosures are being:

- ☒ Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, DC 20231.
- ☐ Transmitted by facsimile on the date shown below to the Patent and Trademark Office at (703) 308-6916.

2/6/2002
Date

Linda Valanzola
Signature

Linda Valanzola

Typed or printed name of person signing certificate